CERTIFICATE OF MAILING	_
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PATENT APPLICATION
Docket No. 2281.2.15

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Kenji Sakai et al.	)
Serial No.:	(not yet assigned)	) }
Filed:	August 18, 2003	)
For:	POLISHING METHOD AND POLISHING COMPOSITION USED FOR POLISHING	) ) )

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicants and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), the enclosed Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. § 102 or § 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the

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In accordance with 37 C.F.R. § 1.98, transmitted herewith are:

- A completed copy of Form PTO/SB/08A "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration; and
- 2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO/SB/08A.

Respectfully submitted,

Evan R. Witt Reg. No. 32,512

Attorney for Applicants

Date: August 18, 2003

MADSON & METCALF Gateway Tower West 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 Telephone: 801/537-1700

PTO/SB/08A (05-03)

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

Complete if Kn wn				
Application Number		_		
Filing Date		1		
First Named Inventor	Kenji Sakai	_		
Group Art Unit				
Examiner Name		_		
Attorney Docket Number		1		

			U.S. PATENT I	DOCUMENTS	
Examiner Initials *		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
minais		Number - Kind Code <sup>2</sup> (if known)			
	U1	US-5,391,258-B1	02/21/1995	Brancaleoni et al.	
	U2	US-5,476,606-B1	12/19/1995	Brancaleoni et al.	
	U3	US-5,575,885-B1	11/19/1996	Hirabayashi et al.	
	U4	US-5,770,095-B1	06/23/1998	Sasaki et al.	
	U5	US-5,858,813-B1	01/12/1999	Scherber et al.	
	<b>U</b> 6	US-5,954,997-B1	09/21/1999	Kaufman et al.	
	U7	US-6,063,306-B1	05/16/2000	Kaufman et al.	
	U8	US-6,126,853-B1	10/03/2000	Kaufman et al.	
	U9	US-6,139,763-B1	10/31/2000	Ina et al.	
•	U10	US-6,217,416-B1	04/17/2001	Kaufman et al.	
	U11	US-6,315,803-B1	11/13/2001	Ina et al.	· · · · · · · · · · · · · · · · · · ·
	U12	US-6,355,075-B1	03/12/2002	Ina et al.	
	U13	US-6,428,721-B1	08/06/2002	Ina et al.	
	U14	US-6,432,828-B1	08/13/2002	Kaufman, et al.	
	U15	US-6,440,186-B1	08/27/2002	Sakai et al.	
	U16	US-6,447,371-B1	09/10/2002	Brusic Kaufman et al.	
	U17	US-20020096659-A1	07/25/2002	Sakai et al.	
	U18	US-20020139055-A1	10/03/2002	Asano et al.	
	U19	US-20030051413-A1	03/20/2003	Sakai et al.	

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.